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Dated: February 13, 2002

Signature:

Robert B. Cohen



Docket No.: SONYJP 3.0-202  
(PATENT)

Copy 2614  
#4  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:

Tatsuya Wakahara

Application No.: 09/931,798

Group Art Unit: 2614

Filed: August 17, 2001

Examiner: Not Yet Assigned

For: INFORMATION PROCESSING DEVICE AND  
METHOD, AND RECORDING MEDIUM

Commissioner for Patents  
Washington, DC 20231

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The cited references were listed on a European search report issued in connection with applicant's corresponding patent application in Europe. A copy of each reference and a copy of the European search report are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: February 13, 2002

Respectfully submitted,

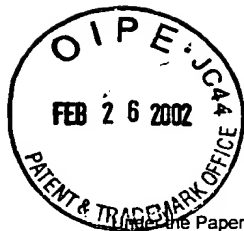
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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/931,798
Sheet 1 of 1				Filing Date	August 17, 2001
				First Named Inventor	Tatsuya Wakahara
				Art Unit	2614
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	SONYJP 3.0-202

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	5,585,838	12-17-1996	Lawler et al.	
	B	5,912,664	06-15-1999	Eick et al.	
	C	6,104,389	08-15-2000	Ando	
	D				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	E	WO 98/07277	02-19-1998	Starsight Telecast Inc.		X
	F	WO 98/38831	09-03-1998	Starsight Telecast Inc.		X
	G	WO 00/30350	05-25-2000	Koninklijke Philips Electronics		X
	H	WO 00/05887	02-03-2000	Canal+ Société Anonyme		X
	I	WO 00/40027	07-06-2000	NTL Group Limited		X
	J	EP 0 697 789 A1	02-21-1996	Sony Corporation		X
	K	EP 0 536 901 A2	04-14-1993	Sony Corporation		X
	L	EP 0 536 901 A3	04-14-1993	Sony Corporation		X

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature	Date Considered
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